

FINRA Pre-Hire Consent

I, _____, hereby give my consent to
_____ (name of broker dealer) to review and verify my
employment registration history and/or records in the FINRA Central
Registration Depository (WebCRD).

Social Security Number: _____ - _____ - _____.

AND

Date of birth (**MM/DD**): _____

Print Registered Representative Name _____

Registered Representative Signature _____

Date _____

Prior to hiring a person who has been previously registered with FINRA, the Broker Dealer must obtain written consent from each potential employee PRIOR to retrieving and reviewing that individual's CRD records.