
Firm Name

Anti-Money Laundering Compliance Program Senior Executive Approval

I, _____, have approved the Anti-Money Laundering Compliance Program (AMLCP) for _____ (Firm Name). I believe that the Anti-Money Laundering Procedures and Surveillance Program of the Firm is reasonably designed to comply with and satisfy the Firm's ongoing compliance responsibilities pursuant to the Bank Secrecy Act (BSA), FINRA Rule 3310 and the all other state and Federal Anti-Money Laundering Regulations.

Signature

Name & Title of Attesting Senior Executive

Date