

State “Shelter-in-Place” and “Stay-at-Home” Orders

In response to the COVID-19 pandemic, a growing number of states and localities have issued workplace restrictions as part of their efforts to prevent the spread of the disease. These “shelter-in-place” or “stay-at-home” orders vary in scope and duration, but generally require businesses not considered “essential” to close their physical offices and continue their operations remotely.

Many of the orders list financial institutions as essential businesses and permit critical on site functions to continue, subject to limitations. Member firms should closely review the applicable state and locality orders’ impacts on their operations, and make any necessary changes.

To aid member firms, FINRA is providing a list of state orders of which FINRA is aware. While FINRA will update this list periodically as we become aware of changes, the situation is rapidly developing and firms should rely on their local authorities for the latest developments. If a member firm decides an employee is permitted to report to a physical office location, FINRA suggests that the employee carry documentation explaining that the employee works for an “essential” business, and why the employee’s work requires his or her physical presence in the office.

Several of the state orders, or guidance and FAQs issued in connection with the state orders, refer to the Department of Homeland Security’s Cybersecurity and Infrastructure Agency (“CISA”) [Memorandum issued on March 19, 2020](#). CISA’s guidance identifies financial services workers as essential to continued infrastructure viability during this tumultuous time. In addition, on March 24, 2020, Treasury Secretary Steven T. Mnuchin issued a [statement](#) that he “strongly support[s] the Department of Homeland Security’s recent guidance identifying financial services sector workers as essential critical infrastructure workers during the COVID-19 response emergency.”

To the extent that member firms are aware of state orders not listed below, please contact FINRA’s Office of Government Affairs at stateoutreach@finra.org with information regarding the orders.

State	Order and Related Materials	Order Date
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Alaska	Heath Mandate 011 - Social Distancing	Alaska Essential Services and Critical Workforce Infrastructure Order	3/27/2020
Arizona	Executive Order 2020-12		3/23/2020
California	Executive Order N-33-20		3/19/2020
Colorado	D 2020 017 Executive Order	Amended Public Health Order 20-24 Implementing Stay at Home Requirements	3/25/2020
Connecticut	Executive Order 7H	Guidance from Dept. of Economic and Community Development	3/20/2020
Delaware	Fourth and Fifth Modifications of the Declaration of A State of Emergency for the State of Delaware Due to a Public Health Threat	List of Essential and Non-Essential Businesses	3/22/2020
District of Columbia	Mayor's Order 2020-053		3/24/2020
Georgia	Executive Order		3/23/2020
Hawaii	Third Supplementary Proclamation		3/23/2020
Idaho	Order to Self-Isolate	The Office of the Governor Extreme Emergency Proclamation	3/25/2020

Illinois	Executive Order 2020-10		3/20/2020
Indiana	Executive Order 20-08	FAQ for order	3/23/2020
Kansas	Executive Order No. 20-16		3/28/2020
Kentucky	Executive Order 2020-246		3/22/2020
Louisiana	Proclamation Number 33 JBE 2020		3/22/2020
Maine	Executive Order No. 19 FY 19/20		3/24/2020
Maryland	Order of the Governor of the State of Maryland Number 20-03-23-01	Interpretive Guidance	3/23/2020
Massachusetts	COVID-19 Order No. 13	Exhibit A - COVID-19 Essential Services	3/23/2020
Michigan	Executive Order 2020-21 (COVID-19)		3/23/2020
Minnesota	Emergency Executive Order 20-20 Directing Minnesotans to Stay at Home		3/25/2020
Montana	Stay at Home Directive		3/26/2020
Nevada	Declaration of Emergency for COVID-19 - Directive 003		3/20/2020

New Hampshire	Emergency Order #17 Pursuant to Executive Order 2020-04	Exhibit A to Emergency Order #17	3/26/2020
New Jersey	Executive Order No. 107 Executive Order No. 108		3/21/2020
New Mexico	Public Health Emergency Order Closing All Businesses and Non-Profit Entities Except for those Deemed Essential and Providing Additional Restrictions on Mass Gatherings Due to COVID-19		3/23/2020
New York	Executive Order 202.6 Executive Order 202.8		3/20/2020
North Carolina	Executive Order No. 121		3/27/2020
Ohio	Dept. of Health - Director's Stay at Home Order		3/22/2020
Oklahoma	Fourth Amended Executive Order 2020-07		3/24/2020
Oregon	Executive Order No. 20-12		3/23/2020

Pennsylvania	Order of the Governor of the Commonwealth of Pennsylvania Regarding the Closure of All Businesses That Are Not Life Sustaining	List of Life Sustaining Businesses	3/19/2020
Puerto Rico	Administrative Bulletin No. OE-2020-023		3/15/2020
Rhode Island	Executive Order 20-13		3/28/2020
Utah	The Governor's Coronavirus Directive for Utah - "Stay Safe, Stay Home"		3/27/2020
Vermont	Stay Home, Stay Safe Order (Addendum 6 to Executive Order 01-20)		3/24/2020
Virginia	Executive Order Number 53 (2020)		3/23/2020
Washington	Proclamation 20-25	Proclamation 20-25 Appendix	3/23/2020
West Virginia	Executive Order No. 9-20		3/23/2020
Wisconsin	Emergency Order #12		3/24/2020

List updated on 3/30/2020

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